

## Top 10 'RI Screened funds' by assets in Europe (€m)

| Rank         | Master Group       | Domicile       | Sectors                                    |
|--------------|--------------------|----------------|--|
| 1            | Société Générale   | France         | Money Market EUR                           |
| 2            | Allianz            | France         | Money Market EUR                           |
| 3            | BNP Paribas        | France         | Money Market EUR                           |
| 4            | Allianz            | France         | Equities Euroland                          |
| 5            | SAM                | Luxembourg     | Equities Water                             |
| 6            | Natixis            | France         | Bonds EUR                                  |
| 7            | MACIF              | France         | Money Market EUR                           |
| 8            | Natixis            | France         | Money Market EUR                           |
| 9            | KBC                | Belgium        | Bonds EUR                                  |
| 10           | F&C                | United Kingdom | Equities United Kingdom                    |
| 11           | KBC                | Ireland        | Equities Renewable Energy/Climate Change   |
| 12           | Natixis            | France         | Equities Europe                            |
| 13           | Dexia              | Luxembourg     | Money Market EUR                           |
| 14           | KBC                | Belgium        | Bonds EUR                                  |
| 15           | Dexia              | Belgium        | Bonds European Currencies Corp. Inv. Grade |
| 16           | Allianz            | Luxembourg     | Equities Environmental/Ecological          |
| 17           | Natixis            | France         | Equities France                            |
| 18           | UBS                | Luxembourg     | Equities Environmental/Ecological          |
| 19           | Fortis             | France         | Money Market EUR                           |
| 20           | Jupiter Investment | United Kingdom | Equities Environmental/Ecological          |
| <b>Rest</b>  |                    |                |  |
| <b>Total</b> |                    |                |  |

## Top 20 'RI Screened' funds by estimated net sales in Europe (€m)

| Rank         | Master Group     | Domicile       | Sectors  |
|--------------|------------------|----------------|--|
| 1            | MACIF            | France         | MACIF Court Terme ISR (C)                          |
| 2            | Société Générale | France         | SGAM Invest Monétaire ISR                          |
| 3            | Allianz          | France         | Allianz Securicash SRI                             |
| 4            | Impax Group plc  | Ireland        | Impax Environmental Markets (Ireland) Fund         |
| 5            | KBC              | Belgium        | KBC EquiPlus Quality Stocks Lookback 04            |
| 6            | KBC              | Ireland        | KBC AM Institutional fund - KBC Alternative Energy |
| 7            | Fortis           | Belgium        | Post-Fix Fund - POST Multifix Energy Plus          |
| 8            | Crédit Agricole  | France         | CPR Monétaire SR                                   |
| 9            | Fortis           | Belgium        | Fortis B Fix 2009 Call SRI 03                      |
| 10           | KBC              | Belgium        | IN.flanders@ Index Fund                            |
| 11           | KBC              | Belgium        | KBC Participation Quality Stocks EUR 03            |
| 12           | Folksam          | Sweden         | KPA Etisk Blandfond 2                              |
| 13           | Groupama         | France         | Euro Capital Durable                               |
| 14           | Dexia            | Luxembourg     | Dexia Money Market Euro Sustainable                |
| 15           | Natixis          | France         | Natixis Impact Cash                                |
| 16           | KBC              | Belgium        | KBC Institutional Fund Ethical Euro Bonds          |
| 17           | F&C              | United Kingdom | F&C Ethical Bond                                   |
| 18           | Natixis          | France         | Ecureuil Bénéfices Responsables                    |
| 19           | Dexia            | Belgium        | Dexia Sustainable North America                    |
| 20           | SEB              | Luxembourg     | SEB Fund 3 - SEB Ethical Global Fund               |
| <b>Rest</b>  |                  |                |  |
| <b>Total</b> |                  |                |  |

Source: Lipper FMI

### Notes to data

The underlying securities in the portfolio must have undergone an 'extra financial' screening (ESG screening) in

| Fund Name  | Assets April 09 |
|--|-----------------|
| SGAM Invest Monetaire ISR                          | 1,884.9         |
| Allianz Securicash SRI                             | 1,737.9         |
| BNP Paribas Moné Etheis                            | 1,435.6         |
| AGF Valeurs Durables (C)                           | 959.0           |
| SAM Sustainable Water                              | 843.8           |
| Natixis Impact Aggregate Euro                      | 801.8           |
| MACIF Court Terme ISR (C)                          | 730.3           |
| Natixis Impact Cash                                | 702.2           |
| KBC Obli Euro                                      | 515.5           |
| F&C Stewardship Growth                             | 506.7           |
| KBC AM Institutional fund - KBC Alternative Energy | 497.6           |
| Fructifonds Valeurs Européennes                    | 462.5           |
| Dexia Money Market Euro Sustainable                | 438.7           |
| KBC Institutional Fund Ethical Euro Bonds          | 421.9           |
| Dexia Sustainable Euro Corporate Bonds             | 413.6           |
| dit-Global Eco Trends                              | 404.9           |
| Ecureuil Bénéfices Responsables                    | 354.0           |
| UBS(Lux) Equity - Global Innovators                | 346.7           |
| Fortis Money Prime Euro SRI (C)                    | 331.1           |
| Jupiter Ecology                                    | 322.3           |
|  | <b>26,164.9</b> |
|  | <b>40,275.8</b> |

| Fund Name                                | Est Net Sales April 09 |
|--|------------------------|
| Money Market EUR                         | 204.0                  |
| Money Market EUR                         | 137.9                  |
| Money Market EUR                         | 134.2                  |
| Equities Environmental/Ecological        | 106.0                  |
| Guaranteed Fund                          | 79.8                   |
| Equities Renewable Energy/Climate Change | 69.5                   |
| Guaranteed Fund                          | 47.1                   |
| Money Market EUR                         | 42.0                   |
| Guaranteed Fund                          | 34.9                   |
| Equities Belgium                         | 18.4                   |
| Protected Fund                           | 14.9                   |
| Mixed Assets Balanced                    | 14.5                   |
| Equities Euroland                        | 14.3                   |
| Money Market EUR                         | 12.8                   |
| Money Market EUR                         | 12.2                   |
| Bonds EUR                                | 12.2                   |
| Bonds GBP Corporate Investment Grade     | 10.7                   |
| Equities France                          | 10.6                   |
| Equities North America                   | 9.3                    |
| Equities Global                          | 8.9                    |
|  | <b>-311.7</b>          |
|  | <b>682.5</b>           |

order to be classified as RI - screened

### Top 10 'RI Extended' funds by assets in Europe (€m)

| Rank | Master Group  | Dom        | Sectors                                  |
|------|---------------|------------|--|
| 1    | BlackRock     | Luxembourg | Equities Renewable Energy/Climate Change |
| 2    | Pictet        | Luxembourg | Equities Water                           |
| 3    | Pioneer       | Luxembourg | Equities Environmental/Ecological        |
| 4    | Pictet        | Luxembourg | Equities Renewable Energy/Climate Change |
| 5    | Dexia         | Luxembourg | Bonds Emerging Markets                   |
| 6    | Union         | Luxembourg | Guaranteed Fund                          |
| 7    | Vontobel      | Luxembourg | Equities Renewable Energy/Climate Change |
| 8    | Deutsche/DWS  | Germany    | Equities Renewable Energy/Climate Change |
| 9    | Crédit Suisse | Luxembourg | Bonds Speciality                         |
| 10   | Deutsche/DWS  | Luxembourg | Equities Renewable Energy/Climate Change |
|      | <b>Rest</b>   |            |  |
|      | <b>Total</b>  |            |  |

### Top 10 'RI Extended' funds by estimated net sales in Europe (€m)

| Rank | Master Group  | Dom            | Sectors                                  |
|------|---------------|----------------|--|
| 1    | Pictet        | Luxembourg     | Equities Water                           |
| 2    | Dexia         | Luxembourg     | Bonds Emerging Markets                   |
| 3    | Vontobel      | Luxembourg     | Equities Environmental/Ecological        |
| 4    | KBC           | Ireland        | Equities Water                           |
| 5    | Martin Maurel | Luxembourg     | Equities Water                           |
| 6    | First State   | United Kingdom | Equities Renewable Energy/Climate Change |
| 7    | Pictet        | Luxembourg     | Equities Renewable Energy/Climate Change |
| 8    | Schroders     | Luxembourg     | Equities Renewable Energy/Climate Change |
| 9    | Robeco        | Luxembourg     | Short Term Dynamic                       |
| 10   | BlackRock     | Luxembourg     | Equities Renewable Energy/Climate Change |
|      | <b>Rest</b>   |                |  |
|      | <b>Total</b>  |                |  |

**Source: Lipper FMI**

#### **Notes to data:**

RI Extended funds include:

- Any fund using a multiple-exclusion approach when selecting its investments (one exclusion is not sufficient)
- Any fund using a normative approach (adherence to internationally-recognised standards and principles).
- Any thematic fund that isn't using a positive ESG screening approach (best-in-class or engagement) but w

| <b>Fund Name</b>                                | <b>Assets April 09</b> |
|---|------------------------|
| BlackRock Global Funds - New Energy Fund        | 2,238.7                |
| Pictet Funds (Lux) - Water                      | 2,077.9                |
| Pioneer Global Ecology                          | 767.5                  |
| Pictet - Clean Energy                           | 342.2                  |
| Dexia Micro-Credit Fund - Blueorchard Debt Fund | 340.6                  |
| UniGarantPlus: Klimawandel (2014)               | 319.1                  |
| Vontobel Fund-Global Trend New Power Tech       | 313.3                  |
| DWS Klimawandel                                 | 307.8                  |
| responsAbility Global Microfinance Fund         | 288.7                  |
| DWS Invest New Resources                        | 253.4                  |
|   | <b>5,863.1</b>         |
|   | <b>13,112.4</b>        |

| <b>Fund Name</b>  | <b>Est Net Sales<br/>April 09</b> |
|---|-----------------------------------|
| Pictet Funds (Lux) - Water                                | 47.6                              |
| Dexia Micro-Credit Fund - Blueorchard Debt Fund           | 45.7                              |
| Vontobel Fund-Global Trend Future Resources               | 41.4                              |
| KBC AM Institutional fund - KBC Water Fund                | 27.4                              |
| Praetor Global Fund - Praetor Water/Infrastructures       | 25.9                              |
| First State Global Emerging Markets Sustainability        | 23.1                              |
| Pictet - Clean Energy                                     | 21.0                              |
| Schroder ISF-Global Climate Change Equity                 | 17.4                              |
| Robeco Structured Finance Fund - Ethias Protection Plus I | 13.9                              |
| BlackRock Global Funds - New Energy Fund                  | 13.9                              |
|   | <b>36.2</b>                       |
|   | <b>313.4</b>                      |

nt).

hich is investing in climate change , renewable energy, water , environment ,ecology social, solidarity funds and Microfinance



|                                     |             |
|-------------------------------------|-------------|
| Equity funds sales - April 09 (€m)  | 4,432.1     |
| Equity funds Assets - April 09 (€m) | 1,185,812.5 |